



Board Member Annual Conflict of Interest Form

In your capacity as a member of the board of directors (the “Board”) of the Minnesota Climate Innovation Finance Authority (the “Agency”) you must always act ethically and in the best interests of the Agency. To ensure compliance with the Board Member Conflict of Interest Policy, all board members must complete the following annual disclosure form to serve as a mechanism to identify and address conflicts of interest related to Agency matters.

Board Member Name: _____ Term of Board Appointment: _____

List every company, firm, board or other organization of which you are an officer, director, board trustee, advisory board member, council member, committee member, partner (general or limited), employee or contractor, and the position you hold at each.

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1. Do any of the organizations identified in question #1 presently have business dealings with the Agency or might reasonably be expected to have business dealings with the Agency in the coming year? ☐ Yes ☐ No

If yes, A) identify the organization(s); and B) describe the nature of each of the organization’s business dealings currently being conducted with the Agency or which may be reasonably expected to be conducted with the Agency in the coming year.

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2. Are any of your family members or partners an officer, director, board trustee, advisory board member, council member, committee member, partner (general or limited), employee or contractor of, or have an interest in, any company, firm, board or other organization that presently has business dealings with the

Agency or which might reasonably be expected to have business dealings with the Agency in the coming year? ☐ Yes ☐ No

If yes, A) identify the name of each organization and the position held by the family member(s) or partner(s); and B) describe the nature of each of the organization's business dealings currently being conducted with the Agency or which may be reasonably expected to be conducted with the Agency in the coming year.

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3. Do you, any family members or partners have a direct or indirect financial interest in a company, firm, board or other organization which currently has business dealings with the Agency or which may reasonably be expected to have such business dealings with the Agency in the coming year? ☐ Yes ☐ No

If yes, A) identify the name of each organization; B) describe the nature of the interest and the name of the person(s) holding the interest; and C) describe the nature of each of the organization's business dealings currently being conducted with the Agency or which may be reasonably expected to be conducted with the Agency in the coming year.

4. Do you, any family members or partners have a financial or personal interest in property in which the Agency has a financial or other interest? ☐ Yes ☐ No If yes, please provide details below:

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5. Do you have any other interest or relationship with any person or organization where that interest or relationship might reasonably be expected to create an appearance of a conflict of interest with serving on

the Board or with any business that may come before the Board? ☐ Yes ☐ No If yes, please provide details below:

I have read the Minnesota Climate Innovation Finance Authority Board Member Conflict of Interest Policy. I understand that as a member of the Board, it is my obligation to act ethically and in the best interests of the Minnesota Climate Innovation Finance Authority and to avoid conflicts of interest and appearances of impropriety when making decisions and taking actions on behalf of the Agency. My answers to the questions in this disclosure form are correctly stated to the best of my knowledge and belief.

If a future actual, potential or perceived conflict of interest arises with respect to my fiduciary responsibilities to the Agency, I agree to follow the Resolution of Conflicts of Interest procedure as set forth in the Board Member Conflict of Interest Policy. Also, I understand this disclosure obligation is ongoing and agree to update this disclosure form if any of the information disclosed materially changes during the year.

Board Member Name

Board Member Signature

Date